Introduction

Parents are their children’s first and most important teachers… and their first and most important nurses, coaches, safety officers, nutritionists and moral guides. They also are their children’s first and most important advocates and care coordinators. Most parents, most of the time, are able to fill these roles, identifying and coordinating appropriate, affordable services for their children. But there remain far too many instances where parents cannot find or afford the health, education and social services their children need, or the services they locate do not actually meet the children’s needs. And there are far too many children whose parents are not able to fulfill the advocacy and care coordination roles without help.

Problems and Context

These problems are compounded when families have a variety of needs. When children are seen by multiple providers, they and their families may receive mixed and even conflicting direction, at best limiting service effectiveness and at worst adding to families’ confusion, frustration and distress. Researchers have argued for some time that early childhood development services – whether provided through early childhood education programs, health care, family support services, or a variety of specialized counseling and support services for children with special needs – need to be part of a larger, better integrated system.

Service providers and policymakers have been initiating and adjusting their work in the early childhood arena to develop such a cohesive system. However, it is also important that these questions about integration occur in a larger context, one that considers overall availability of supportive services, and the broader community in which services – integrated or not – are provided.
Recent Research Results

Research is clear that a child’s readiness for school and subsequent school success is dependent upon physical, social, emotional and cognitive well-being and development, and that these dimensions of school readiness are interrelated. A child with dental pain cannot concentrate fully and is likely to act out. A child with an untreated learning disability is likely to struggle socially and emotionally as well as cognitively. A child whose parent suffers from mental illness is less likely to receive the nurturing needed to foster resiliency and development across all the dimensions of school readiness. Children who start school behind their peers on more than one dimension of school readiness are at much greater risk of falling further behind; and children are rarely behind on only one dimension. Up to half of future school problems are already evident by the time children start school.

When children need services in multiple areas, an aligned, coordinated response produces the best results. Exemplary programs suggest that primary-care practitioners who screen for and make effective referrals to developmental services reduce developmental delays among children. Early care and education programs with access to mental-health consultants improve children’s emotional and cognitive development and reduce preschool expulsions.

Research also is clear on the need for an ecological, life-course approach to closing current school readiness gaps – one that addresses the child in the context of family, and the family in the context of community. Discrete services such as clinical heath care or preschool can achieve gains that produce positive returns on investment for society, but individually they can only reduce school readiness gaps by a small amount. Ultimately, improving children’s health and well-being involves effective professional services that are developmentally appropriate and support and strengthen resiliency and reciprocity for young children and for their families through positive social connections – actions that go much beyond discrete, individual service provision.

The Early Childhood Systems Workgroup, composed of national leaders from policy and research organizations in the United States, has established a common conceptual framework that recognizes the need for a systemic approach to early childhood development, depicted in the figure below.
Services in each of the four components, or ovals, must be available, affordable, of good quality and accessible to all who need them. Their overlap stresses that the providers in each oval must be able to connect young children and their families to services outside their professional purview, coordinate with other providers when they are serving the same child and family, and help other providers play an appropriate role in responding to children’s needs across the dimensions.

While a systemic framework, the model does not imply that better coordinating responses across the four components – e.g., integrating systems – is the sole or even the primary need to improve children’s school readiness. For some young children and their families, there may not be affordable, accessible and high-quality services within one or more of the components to address the child’s unique and special needs. Some children do not have access to primary and preventive health services, and many families struggle to find consistent, developmentally appropriate child care for their children.

Even when services are available, families may not be equipped to navigate them or effectively advocate for their children. This can be due to economic circumstances, stress, isolation, family or community violence, mental illness, drug involvement, or lack of parenting confidence and competence. Such family and neighborhood factors account for the greatest share of the gaps children experience at the time of school entry. Successful strategies to engage and support these families often extend beyond professional-to-client services.

The emphasis upon early childhood systems-building has resulted in cross-agency planning and governance structures at both the state and community level designed to reduce fragmentation and better integrate services. These efforts often concentrate on developing protocols or agreements across systems that reduce barriers to coordinating services, including sharing information. Policymakers, in particular, are eager to know what governance structures produce the best results; significant attention has been directed toward describing and evaluating these governance structures. However, research also needs to start at the level of the young child and family, to determine how changes within frontline systems can boost young children’s healthy development.

Research Questions and Gaps

In addition to helping develop the Workgroup’s conceptual framework, the Build Initiative has established an evaluation framework for examining systems-building. (The Build Initiative is a project of the Early Childhood Funders Collaborative, composed of national, regional and state foundations focusing upon early childhood, supporting state efforts to build comprehensive early childhood systems.) This framework recognizes that different evaluation methodologies are needed to examine different aspects of systems-building. In particular, it distinguishes between evaluation of system “components” and system “connections.” The former generally involves program-evaluation methodologies, which have been the primary focus of early childhood development research. The latter involves evaluations of cross-system linkages, which have been subject to very little empirical analysis.

Evaluating cross-system linkages requires a different focus than traditional program evaluation. It requires examining cohorts of young children, their involvement with services, and their resulting trajectories of growth.
and development. These examinations could start with young children coming to the attention of a particular program or they could start from a universal event (birth) or population base (all young children in a certain neighborhood). Research questions regarding these connections include:

- At what point did any service provider identify needs of the young child and family that fell outside of that provider’s capacity to respond?
- What did the provider do to help the young child and family to secure that response elsewhere, and were those actions successful?
- When more than one service provider was involved, was their work aligned and coordinated and did it respond to multiple needs?
- What strategies produced good connections across services, and what were the reasons for poor connections?
- Ultimately, did the child start school healthier and better equipped for success as a result of an integrated response to his or her needs?\(^\text{17}\)

Actual methodologies include action research, comparative case study review, and, as efforts move from the qualitative to the quantitative, content analysis and goal attainment scaling, including results mapping.\(^\text{18}\)

Clearly, the Workgroup’s conceptual framework makes theoretical sense for analyzing what young children need to succeed and what public policies can do to support them. Yet it remains a framework and not a theory of change, with testable assumptions.\(^\text{19,20}\) It does not provide any assessment of the relative importance of strengthening connections versus building strong individual programs versus creating greater economic security for families. Depending upon the child, the community and the array of existing services, that assessment may produce different answers.

Clearly, better coordination across overwhelmed systems is unlikely to produce much gain. If services are accessible only to those with the persistence and resources to secure them, they may help individual children but not meet social needs as a whole. In short, integrated services at best are an answer to only some of the challenges that face young children and their families.

**Conclusions and Implications for Parents, Services and Policy**

The following research question should be added to those stated before and may well be the most important one to address:

- What did families identify as their young children’s needs, how were families involved in ensuring those needs were met, and to what extent did they feel their children received the help they needed?

No matter how well integrated, public programs cannot ensure the healthy development of vulnerable young children by taking actions without the involvement of or in spite of their families. Services and supports need to start where families are, not where systems would like them to be. One study of families involved in multiple systems found those families to be as frustrated by the lack of consistency of support within systems (as their case managers and workers frequently changed) as across them, and what they most needed often was not
addressed by any system. Indeed, parents were generally more knowledgeable of what different systems provided than the workers who offered referrals.  

Research, common sense and societal values all speak to young children needing and deserving consistency and continuity in their nurturing, supervision and protection. Public and professional services – in health, early learning, family support and special needs – should be coordinated and integrated to ensure they contribute to producing that consistency and continuity. The danger is to define the lack of coordination and integration as the cause for children’s school unreadiness and success and direct sole attention there, where there may be other, much more important gaps, policies or practices that need to be addressed.

References


